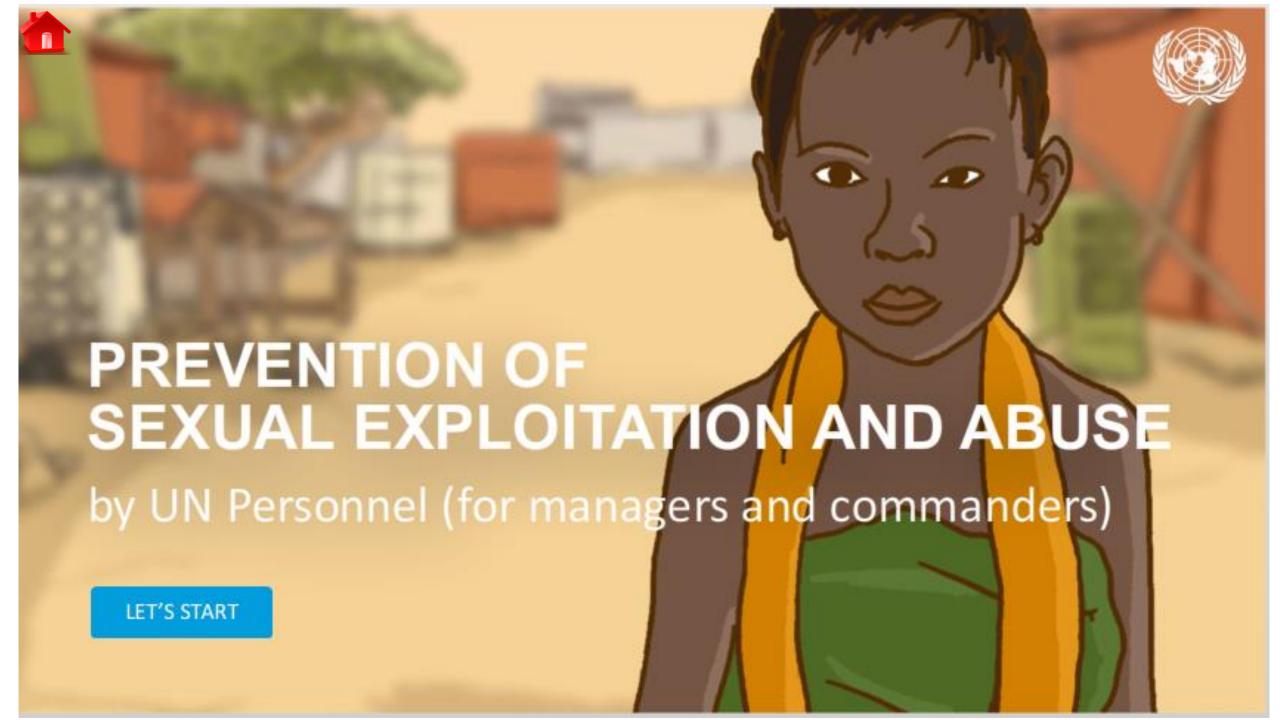
# Prevention of Sexual Exploitation and Abuse Booklet – All personnel

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LESSON 1: UN STANDARDSp 6
LESSON 2: CONSEQUENCES FOR ABUSERS p 34
LESSON 3: OBLIGATIONS OF UN PERSONNEL p 55

UNITED NATIONS | FIELD SUPPORT









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When the UN comes in, it is the ray of hope. People trust the UN to help and protect them.



The UN's mission is to bring peace and stability to some of the most challenging places around the world.







## HONOUR, PRIDE AND INTEGRITY.

The overwhelming majority who work and serve in the UN do so with honour, pride and integrity.

Sadly, at times, UN personnel have violated the trust placed in them by the most vulnerable in society. Both civilian and uniformed personnel have engaged in sexual exploitation and abuse of the local population in UN field missions in the Balkans, Asia, Africa and the Caribbean.













The UN has a zero-tolerance policy for such behaviour.

There is no impunity for UN personnel who commit such abuses.









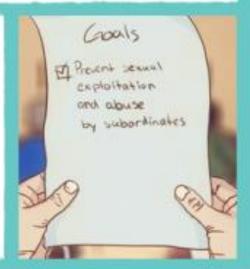






Over the past years, the UN has put in place a wide range of measures to combat sexual exploitation and abuse. These measures are having an impact.

UN personnel are now more aware of the standards of conduct expected of them, managers and commanders are held to account ...









... and the local population are encouraged to report abuses to the UN.





But worryingly, acts of sexual exploitation and abuse by UN personnel continue to happen.

And a significant proportion of allegations involve the worst form of abuse: the rape of children.

For the UN, one incident of sexual exploitation and abuse is one too many.

















#### Introduction > Overview 2/2

Welcome to the United Nations course on "Prevention of Sexual Exploitation and Abuse by UN Personnel (for managers and commanders)".

This is a course for UN personnel with managerial or command responsibilities, whether at Headquarters or at another duty station. This course is mandatory for managers and commanders, but if others wish to take it, they are welcome to do so.

The course contains videos, scenarios and examples describing cases of sexual exploitation and abuse by UN personnel. These are fictitious examples based on facts from real-life cases. Names of victims, perpetrators and locations as well as voices have been changed to protect identities.

# Course content



#### Lesson 1: UN standards

What are the UN standards of conduct on sexual exploitation and abuse by UN personnel?

#### Lesson 2: Consequences for abusers

What are the consequences of breaching UN standards of conduct on sexual exploitation and abuse?

#### Lesson 3: Obligations of UN personnel

What are the obligations of all UN personnel to uphold UN standards of conduct on sexual exploitation and abuse and how do you report sexual exploitation and abuse by UN personnel?

#### Lesson 4: Managerial and command responsibilities

What are the specific responsibilities of managers and commanders in addressing sexual exploitation and abuse, what actions can they take to prevent such abuses and how should they handle allegations involving subordinates?







Hi and welcome on board! I'm Hiroko. I am a UN trainer. I will accompany you through this course.



#### Lesson 1 > What will you learn in lesson 1? 2/23



#### In this lesson, you will learn about:

- Who is required to follow the UN standards of conduct on sexual exploitation and abuse?
- · What is prohibited conduct?
- What is strongly discouraged conduct?
- What are some misconceptions that UN personnel might have about sexual exploitation and abuse?

But first, let's meet the team.







Hi! I'm Peter – nice to meet you.

I am an expert on the UN standards of conduct and hope to be able to answer your questions.

Hi! I'm Fatou. This is my fourth deployment with the UN now and I understand the challenges of living and working in the field.

Hello! I'm Malik. This is my first deployment with the UN so everything about the UN is new to me.









Lesson 1 > Do you have to follow the UN standards of conduct on sexual exploitation and abuse? 4/23

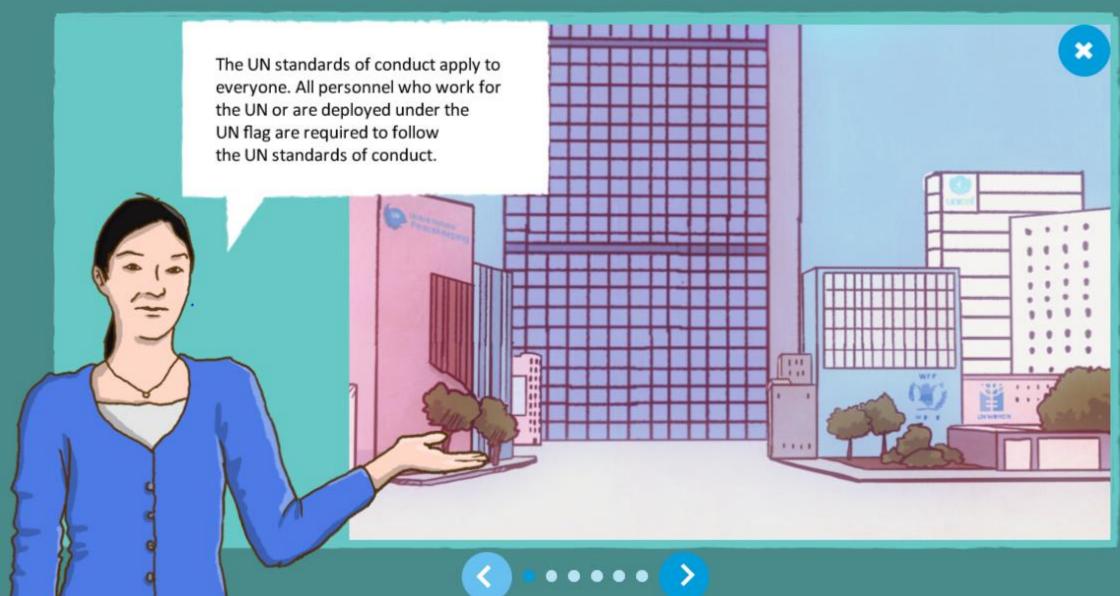




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#### Lesson 1 > Do you have to follow the UN standards of conduct on sexual exploitation and abuse? 4/23

















Whether you manage a team,

command a battalion,









police the streets,

provide technical advice,









or support the smooth functioning of the UN...







You will be required to follow the same UN standards of conduct.





The standards of conduct apply everywhere ...





... whether you are travelling on UN business, are on holiday, or going out to have fun after a hard day's work, you are always required to follow the UN standards of conduct on sexual exploitation and abuse.



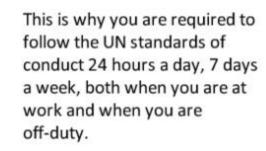






The standards of conduct must be followed at all times. When working in a UN mission or small duty station, the line between our private and public lives can become blurred and our personal conduct can quickly come under public scrutiny.









#### Lesson 1 > Full listing of who is required to follow the UN standards of conduct 5/23



Here you can see a full listing of who is required to follow the UN standards of conduct on sexual exploitation and abuse:

#### All UN civilian personnel including:

- All internationally-recruited and locally-recruited UN staff, including staff of Departments and Offices of the UN Secretariat and its field presences (e.g. UN missions), UN Offices Away from Headquarters and UN Regional Commissions, UN Agencies, Funds and Programmes and UN Specialized Agencies
- UN Volunteers (UNV)
- Personnel or employees of non-UN entities or individuals that have entered into a cooperative arrangement with the UN, including interns, Junior Professional Officers (JPO), international and local consultants, and corporate and individual contractors, including day labourers

#### All UN uniformed personnel including:

- Members of national military contingents
- Members of national formed police units (FPU)
- UN personnel with the legal status of experts on mission, which includes UN police officers (UNPOL), corrections officers, military observers (UNMO) and military liaison officers

#### Lesson 1 > What conduct is prohibited? 6/23





As you can see on the right, the UN standards of conduct prohibit certain behaviour. Let us look at each prohibition in turn.



#### **PROHIBITED**

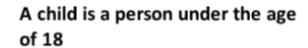
- No sexual activity with a child (a person under the age of 18)
- No sex with prostitutes, and no other exchange of money, food, employment, goods, assistance, or services for sex or sexual favours
- No use of a child or adult to procure sex for others

#### Lesson 1 > No sexual activity with a child 7/23



The UN prohibits sexual activity with a child.

Click on the post-it notes to read more.





Mistaken belief in the age of a child is not a valid defence in a case of sexual exploitation and abuse



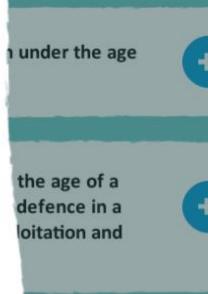




### A child is a person under the age of 18

Even if you are in a country where the age of majority or the age of consent is lower than 18, you are still required to follow the stricter UN standards of conduct that prohibit sexual activity with anyone under the age of 18. Please note: The age of majority means the age at which a person is legally considered an adult. The age of consent means the age at which a person is considered legally competent to consent to sexual relations.



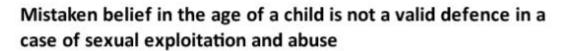












If a child lies about their age and tells you they are over 18 when they are not, the UN will still consider you to be at fault.



a person under the age



belief in the age of a ot a valid defence in a xual exploitation and

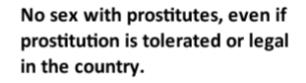


#### Lesson 1 > No sex with prostitutes 8/23



The UN also prohibits sex with prostitutes.

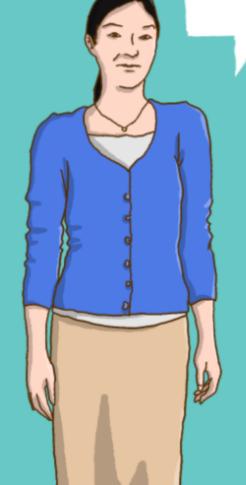
Click on the post-it notes to read more.





No other exchange of money, food, employment, goods, assistance, or services for sex or sexual favours.









## No sex with prostitutes, even if prostitution is tolerated or legal in the country

In some countries, prostitution is tolerated and in other countries, it is legal. But as long as you work or serve in the UN, you have to abide by the stricter UN standards of conduct that prohibit sex with prostitutes.



vith prostitutes, even if tion is tolerated or legal untry.



exchange of money, food, ent, goods, assistance, or r sex or sexual favours.







No other exchange of money, food, employment, goods, assistance, or services for sex or sexual favours

For example, giving someone gifts, a new job, food aid rations, or helping them pay for family expenses in exchange for sex is prohibited.



vith prostitutes, even if tion is tolerated or legal ountry.



exchange of money, food, ent, goods, assistance, or r sex or sexual favours.





#### Lesson 1 > No use of a child/adult to procure sex for others 9/23



The UN also prohibits the use of a child or adult to procure sex for others.

Click on the post-it note to read more.

No use of a child or adult to procure sex for others.









#### The UN also prohibits the use of a child



#### No use of a child or adult to procure sex for others

In the past, there have been cases around UN military camps where soldiers have used children to bring them local women to have sex in exchange for money. This is prohibited.



f a child or adult to sex for others.







You now know what behaviour is prohibited by UN standards of conduct. This should help you identify what is prohibited conduct in the following situations that UN personnel may encounter. Are you ready?





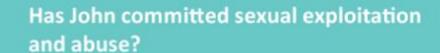
#### Lesson 1 > What conduct is prohibited by the UN? (Scenarios) 11/23

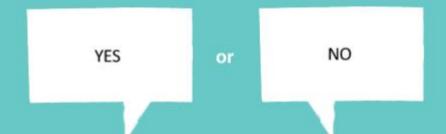


Chantalle is a 25-year old woman who recently moved to town because of fighting between the government and rebels in her home area.

Her family lost everything when they fled and she has been forced to sell sex to survive. John is a civilian who works in the UN. One Saturday night, he meets Chantalle in a bar.

John takes Chantalle home and pays her for sex. As paying for sex is tolerated in this country, John believes he is doing nothing wrong.







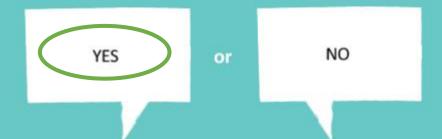
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John takes Chantalle home and pays her for sex. As paying for sex is tolerated in this country, John believes he is doing nothing wrong. Has John committed sexual exploitation and abuse?





#### Lesson 1 > What conduct is prohibited by the UN? (Scenarios) 11/23

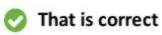


Chantalle is a 25-year old woman who recently moved to town because of fighting between the government and rebels in her home area.

d nd

Har family lost avanything

Has John committed sexual exploitation and abuse?



John has committed sexual exploitation and abuse.

UN standards of conduct prohibit UN personnel from exchanging money for sex or sexual favours, even if this is tolerated or legal in the country.

Remember, the UN standards of conduct apply to you 24/7, when you are at work and off-duty, and wherever you are in the world.





#### Lesson 1 > What conduct is prohibited by the UN? (Scenarios) 12/23





Joyce regularly comes to the UN military base to sell fruit and vegetables to the UN soldiers. After paying for food, Joyce rarely has any money left over for luxuries at the end of the month. Aki is a soldier at the UN military base. Both chat regularly and get to know each other. Eventually she becomes his girlfriend and they start a sexual relationship. Every time they meet to have sex, Aki gives Joyce small gifts of jewellery and mobile phone credits. Joyce lied about her age and told Aki she was 20 years old. However, Joyce is only 16 years old.

Has Aki committed sexual exploitation and abuse? Please explain your reasoning.

type your text here

#### Lesson 1 > What conduct is prohibited by the UN? (Scenarios) 12/23





Joyce regularly comes to the UN military base to sell fruit and vegetables to the UN soldiers. After paying for food, Joyce rarely has any money left over for luxuries at the end of the month. Aki is a soldier at the UN military base. Both chat regularly and get to be a soldier at the UN military base.

Yes, Aki has committed sexual exploitation and abuse.

UN personnel are prohibited from engaging in sexual activity with a child. For the UN, a child is a person under the age of 18, regardless of the local age of consent or the local age of majority.

Aki also committed sexual exploitation and abuse when he provided Joyce with jewellery and mobile phone credits – even if he just considered them gifts. Indeed, the UN prohibits the exchange of goods for sex or sexual favours. The UN also prohibits the exchange of money, food, employment, assistance or services for sex or sexual favours.

Joyce is only

ur



# Lesson 1 > What conduct is prohibited by the UN? (Scenarios) 13/23





Can Aki claim in his defence that he made a mistake about Joyce's age and mistakenly believed her to be over 18 years of age?



YES or NO



# Lesson 1 > What conduct is prohibited by the UN? (Scenarios) 13/23





Can Aki claim in his defence that he made a mistake about Joyce's age and mistakenly believed her to be over 18 years of age?







#### Lesson 1 > What conduct is prohibited by the UN? (Scenarios) 13/23





Can Aki claim in his defence that he made a mistake about Joyce's age and mistakenly believed her to be over 18 years of age?



#### That is correct

When Aki is investigated for sexual exploitation and abuse, his mistaken belief that Joyce is over the age of 18 will <u>not</u> be considered a valid defence. Even though Joyce lied about her age, the UN will still consider that Aki has committed sexual exploitation and abuse.



NO



# Lesson 1 > What conduct is prohibited by the UN? (Scenarios) 14/23



Mateo is a UN Police Officer. He has moved into a house in town and hired Samira as a housekeeper. Samira cleans the house and stays on in the evenings to cook Mateo's meals. Many times, Mateo invites Samira to eat with him and chat. Samira's husband was killed in the war and her two teenage children are at school in another town. Samira sometimes feels lonely and she always looks forward to talking to Mateo.

One evening, Mateo returns home drunk just as Samira is getting ready to leave. Mateo asks Samira to stay the night, pointing to his bedroom. Samira is shocked and looks embarrassed. Mateo then reminds her that good jobs are hard to find these days. Samira is scared of losing her job. Without this job, her children will have to drop out of school. Samira stays the night and has sex with Mateo.



Has Mateo committed sexual exploitation and abuse?

YES Or NO



# Lesson 1 > What conduct is prohibited by the UN? (Scenarios) 14/23

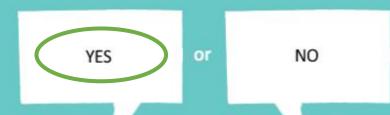


Mateo is a UN Police Officer. He has moved into a house in town and hired Samira as a housekeeper. Samira cleans the house and stays on in the evenings to cook Mateo's meals. Many times, Mateo invites Samira to eat with him and chat. Samira's husband was killed in the war and her two teenage children are at school in another town. Samira sometimes feels lonely and she always looks forward to talking to Mateo.

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Has Mateo committed sexual exploitation and abuse?





#### Lesson 1 > What conduct is prohibited by the UN? (Scenarios) 14/23



Mateo is a UN Police Officer. He has moved into a house in town and hired Samira as a housekeeper. Samira cleans the house and stays on in the evenings to cook Mateo's meals. Many times, Mateo invites Samira to eat with him and chat. Samira's husband was killed in the war and her two teenage children are at school in another town. Samira sometimes feels lonely and she always looks forward to talking to Mateo.

One evening, Mateo returns home drunk

Has Mateo committed sexual exploitation and abuse?

YES or

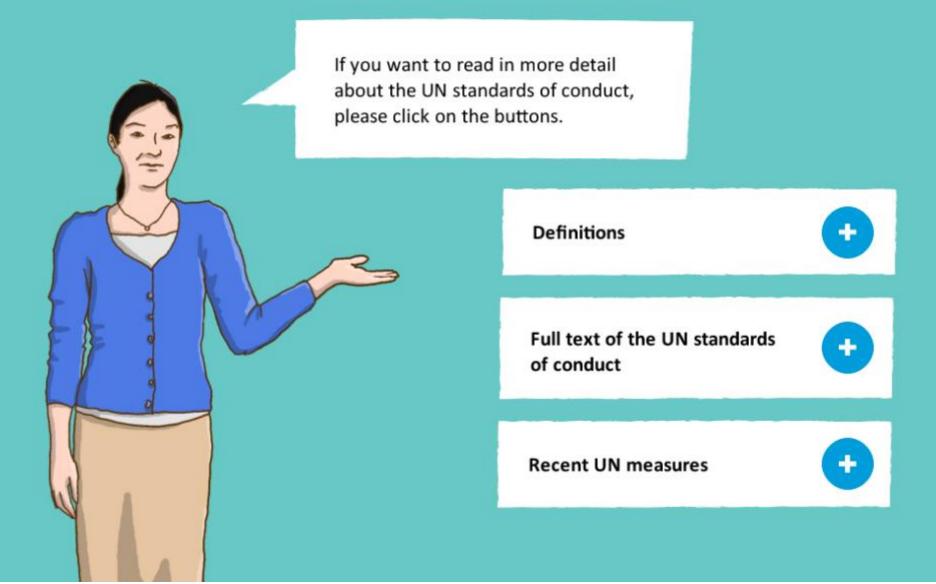
NO



Mateo has committed sexual exploitation and abuse. Mateo used his position of power as an employer to coerce Samira into having sex with him.

# Lesson 1 > Difference between sexual exploitation and sexual abuse 15/23











#### **Definitions**

"Sexual exploitation" means any actual or attempted abuse of a position of vulnerability, differential power, or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of another.

"Sexual abuse" means the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions.





# **CONDUCT IN UN FIELD MISSIONS**

PROFESSIONALISM, EFFICIENCY, INTEGRITY, DIGNITY.







United Nations personnel pledge to behave in a professional and disciplined manne out more about our standards of conduct.



#### SERVING WITH PRIDE

The United Nations Charter requires that all UN personnel must maintain the highes and conduct. The UN is committed to ensuring that all its personnel deployed globa professionalism, courtesy and dignity. Over 100,000 civilian, military and police pers deployed in the various UN peacekeeping operations and special political missions

#### RESOURCES



A wide variety of documents and materials contain information on activities undertaken by the United Nations to prevent and address misconduct by its personnel in field missions. Read more in the reports of the Secretary-General, policy documents such as General Assembly resolutions and Secretary-General's Bulletins, and publications such as articles about activities undertaken in our peacekeeping missions.







Reports of the Secretary-General

Policy documents

Publications





×

#### Recent UN measures

In his 2014 report on special measures for protection from sexual exploitation and sexual abuse, the UN Secretary-General introduced a programme of action with more than 40 proposals on prevention, enforcement and remedial action to strengthen the UN zero tolerance policy on sexual exploitation and abuse (A/69/779).

In his 2015 report, additional measures were also proposed (A/70/729).

On 11 March 2016, the Security Council adopted resolution 2272 on sexual exploitation and abuse in UN peacekeeping operations. The Security Council takes note of the recommendations in A/70/729 relating to the prevention and combating of sexual exploitation and abuse, and endorses and requests specific actions on a range of issues, including strengthening accountability of troop- and police-contributing countries (S/RES/2272).



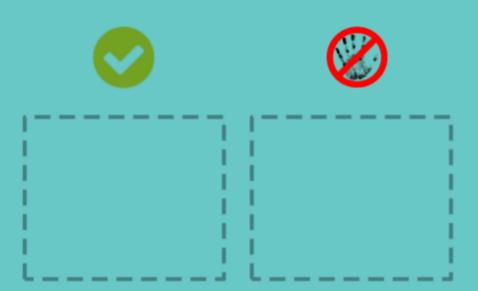
#### Lesson 1 > What conduct is prohibited by the UN? (Quiz) 16/23



Decide which types of behaviour constitute sexual exploitation and abuse. Drag each type of behaviour either to the "Allowed" or "Prohibited" icons on the right.

Click on "Submit" when you have finished.





**SUBMIT** 



#### Lesson 1 > What conduct is prohibited by the UN? (Quiz) 16/23



Unwanted sexual touching of a beneficiary of humanitarian assistance

Helping someone to get a visa to another country in exchange for sexual favours

Soliciting sex, even if no sexual act follows Paying for school fees in exchange for sexual acts

Asking for sex in exchange for offering safe passage through dangerous areas

Threatening to withhold assistance or aid of any kind in exchange for sexual favours

Forcing a young boy to engage in sexual acts

Asking someone to find you a person to have sex with in exchange for money

Engaging in any type of sexual activity with a person under 18 years of age

Offering a job in exchange for sexual acts

Allowing your UN colleague to use your room to have sex with someone in exchange for money

Providing assistance or aid of any kind, including food, clothing and lodging, in exchange for sexual favours

Paying for sexual acts in a massage parlour

Paying for sex with a prostitute in a country where prostitution is legal

Taking sexually explicit photos of a person under the age of 18



#### Lesson 1 > What conduct is prohibited by the UN? (Quiz) 16/23



Decide which types of behaviour constitute sexual exploitation and abuse. Drag each type of behaviour either to the "Allowed" or "Prohibited" icons on the right.

Click on "Submit" when you have finished





Taking sexually explicit photos of a person under the age of 18





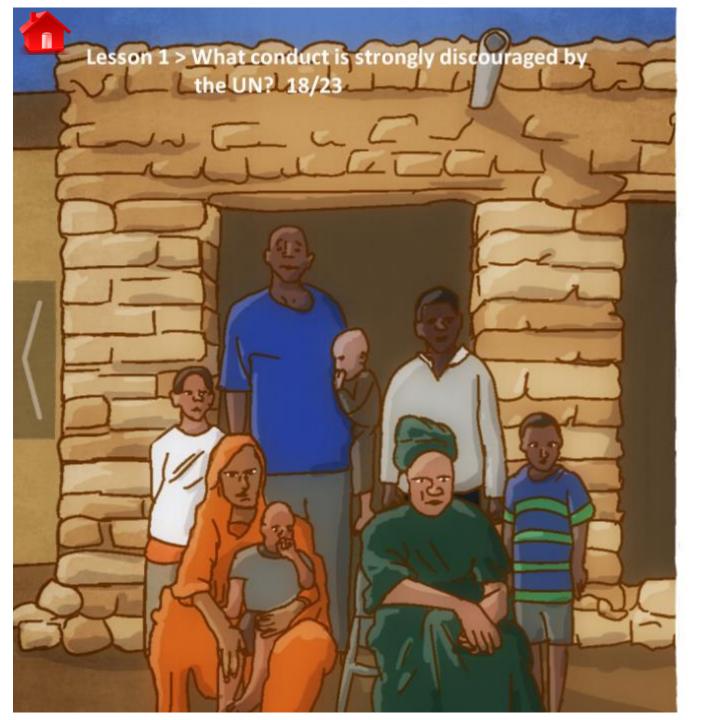
All these types of behaviour constitute sexual exploitation and abuse and are prohibited by the UN.

# Lesson 1 > What conduct is strongly discouraged by the UN? 17/23



Let us now see what conduct is strongly discouraged by the UN.



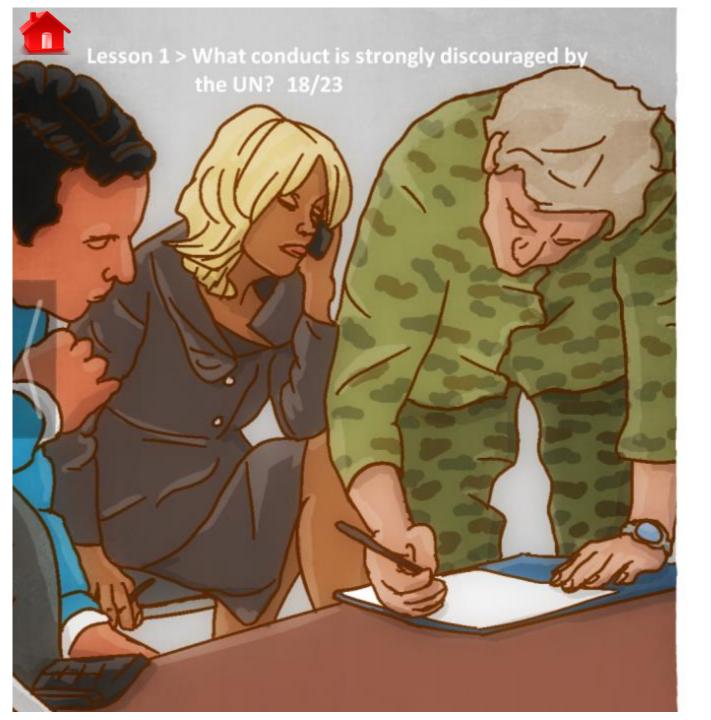




# 1/3

In many countries, unemployment is very high and families are struggling to survive.







# 2/3

UN personnel have money in their pockets and hold positions of authority.







# 3/3

This gives UN personnel power over vulnerable people in the community. UN personnel must not take advantage and abuse this power.





# Lesson 1 > What conduct is strongly discouraged by the UN? 19/23





The UN is there to protect the local population. The UN strongly discourages sexual relationships between UN personnel and beneficiaries of assistance. Can you explain why?

Yes, it is because of the inherent power imbalance between UN personnel and beneficiaries of assistance. That is why the UN strongly discourages sexual relationships between them.

Sexual relationships with members of the community could also put the safety of UN personnel at risk.









#### Example

A sexual relationship between a UN staff and a refugee living in a camp is strongly discouraged because of the inherent power imbalance.



discourages sexual sistance. Can you explain why?

Sexual relationships with members of the community could also put the safety of UN personnel at risk.







#### Example

There have been incidents where UN personnel have been attacked by upset family members, blackmailed or falsely accused of sexual exploitation and abuse, after ending a sexual relationship with a girlfriend from the local community.



discourages sexual sistance. Can you explain why?

Sexual relationships with members of the community could also put the safety of UN personnel at risk.



# Lesson 1 > What conduct is strongly discouraged by the UN? 20/23



My general advice to you is:

If you are unsure whether it violates UN standards of conduct or not...don't do it.





When you are in the UN, you may come across some misconceptions about sexual exploitation and abuse.
Let us look at some of these myths.







Click on the character to view the statement and take a moment to think about the correct response. Done? Then click to see the UN response.











Click on the character to view the statement and take a moment to think about the correct response. Done? Then click to see the UN response.

I want to respect the UN rules, but mission life is lonely. I can't be expected to be celibate for the entire time that I am on mission.











Click on the character to view the statement and take a moment to think about the correct response. Done? Then click to see the UN response.

I want to respect the UN rules, but mission life is lonely. I can't be expected to be celibate for the entire time that I am on mission.

Why does the UN make condoms available? Doesn't it just encourage sexual exploitation and abuse?











Click on the character to view the statement and take a moment to think about the correct response. Done? Then click to see the UN response.

I want to respect the UN rules, but mission life is lonely. I can't be expected to be celibate for the entire time that I am on mission.

Why does the UN make condoms available? Doesn't it just encourage sexual exploitation and abuse?

I heard that UN personnel are above the law because they have immunity from prosecution. Is that true?



**Show UN response** 



**Show UN response** 



**Show UN response** 





Click on the character to view the statement and take a moment to think about the correct response. Done? Then click to see the UN response.

I want to resp but mission life be expected to entire time that

Show UN r

Myth: I can't be expected to be celibate for the entire time I am on mission.

The UN does not ban sex between consenting adults. What is banned is sex that is exploitative or abusive.

However, some codes of conduct of national military contingents ban all sex while on deployment. If this applies to you, you must follow both the UN standards of conduct and your national codes of conduct.

ersonnel are above hey have immunity

×



N response





Click on the character to view the statement and take a moment to think about the correct response. Done? Then click to see the UN response.

I want to resp but mission life be expected to entire time that



# Myth: Doesn't making condoms available just encourage sexual exploitation and abuse?

Making condoms available to UN personnel is a health issue. Male and female condoms protect those who have sex from the transmission of HIV and other sexually transmitted diseases. The UN does not ban sex between consenting adults. What is banned is sex that is exploitative or abusive.

However, some codes of conduct of national military contingents ban all sex while on deployment. If this applies to you, you will have to follow both the UN standards of conduct and your national codes of conduct.

ersonnel are above hey have immunity a. Is that true?

×



N response





Click on the character to view the statement and take a moment to think about the correct response. Done? Then click to see the UN response.

I want to resp but mission life be expected to entire time the



Myth: UN personnel are above the law because they have immunity from prosecution.

No, that is not true. UN personnel are not above the law.

If UN personnel commit a crime such as rape, they can be prosecuted for it. UN personnel only have "functional immunity" which exists to enable them to perform work-related functions.

ersonnel are above hey have immunity . Is that true?

×



N response



Lesson 1 – UN standards 23/23

# Well done. You have reached the end of this lesson.

What you have covered in lesson 1:

- The UN standards of conduct apply to all UN personnel and must be followed at all times.
- No sexual activity with a child (a person under the age of 18).
- No sex with prostitutes and no other exchange of money, food, employment, goods, assistance, or services for sex or sexual favours.
- No use of a child or adult to procure sex for others.
- The UN strongly discourages sexual relationships between UN personnel and beneficiaries of assistance because of the inherent power imbalance.
   If you are unsure...don't do it.





# Lesson 2 > What will you learn in lesson 2? 1/17



Hi again! In this lesson, you will learn about:

- What is the impact of sexual exploitation and abuse on victims?
- What are the consequences for UN personnel who commit sexual exploitation and abuse?
- How does sexual exploitation and abuse damage the work of the UN?

Let's start by looking at the impact on victims.





#### Lesson 2 > What is the impact of sexual exploitation and abuse on victims? 2/17





Sexual exploitation and abuse harms victims' minds and bodies and violates their dignity. Can you tell me more about the specific impacts?

Sexual exploitation and abuse harms victims physically.



It also harms victims emotionally and psychologically.



And it harms victims socially.







#### Lesson 2 > What is the impact of sexual exploitation and abuse on victims? 2/17



#### **Physical harm**

Bruising and injuries, problems with the reproductive system, unwanted pregnancy, sexual dysfunction, contracting HIV or other sexually transmitted infections.









#### **Emotional and psychological harm**

Feelings of shame and guilt, poor self-esteem, anxiety, depression, eating and sleeping disorders, suicidal behaviour and self-harm, alcohol and drug abuse, unsafe sexual behaviour, post-traumatic stress disorder (PTSD).









#### Social harm

In many countries, there are strict social norms about how women, men, girls and boys are expected to behave. When sexual exploitation and abuse occurs, families and communities may punish victims for having violated these social norms. Victims may be beaten by their families, be forced to leave home, or lose their families' financial support. Victims may be ostracized by their communities. In some countries, victims may be arrested by the police, for instance, for sex outside of marriage.









## Lesson 2 > What happens to a child born as a result of sexual exploitation and abuse? 3/17



When we talk about the impact on victims, what happens to a child born as a result of sexual exploitation and abuse?



A child born as a result of sexual exploitation and abuse by UN personnel may face life-long disadvantage and discrimination. Here is an example.







#### Example

In the Democratic Republic of the Congo, a non-governmental organization (NGO) reported that a child born to a Congolese mother who had been sexually exploited by a UN peacekeeper was growing up in extreme poverty.

In the Democratic Republic of the Congo, a child growing up in extreme poverty is more likely to be malnourished, not go to school and die early from preventable diseases.









Let us listen to this video where Jane explains how a UN personnel sexually exploited and abused her, and how this impacted on her life.





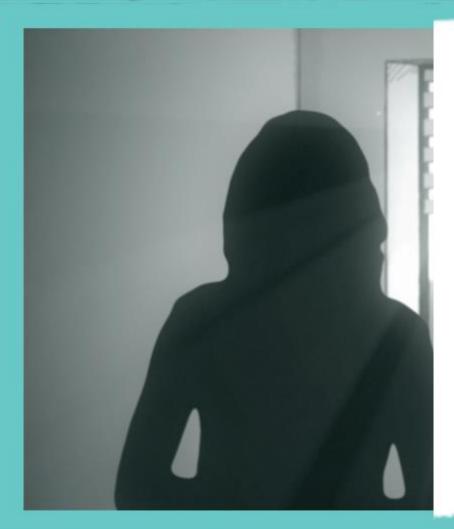




Choose to view as images or video depending on your bandwidth.







My family has a small shop in town. I used to work there every evening after school. That is where I met Michael. He works for the UN.

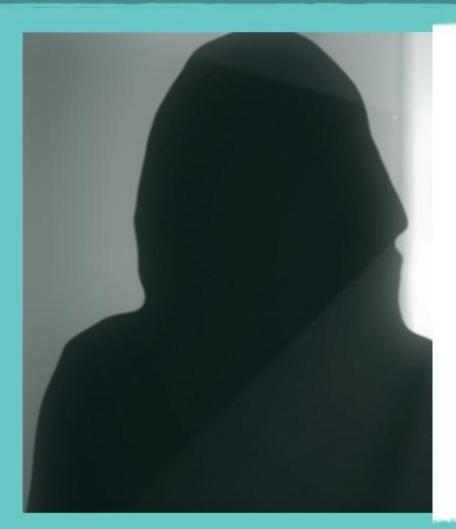
Michael told me that he loved me. He told me that if I have sex with him, he will marry me. Michael told me I was pretty. He gave me gifts. He even gave me a mobile phone.

My uncle said what we were doing was wrong. He said that I would get a bad reputation. But my parents, they liked Michael. He helped my family. Michael gave my parents money to pay for the rent. Michael paid for the school fees of my two younger sisters.









One day, Michael stopped visiting. I found out later that he had gone home. I never heard from Michael again.

When my family found out that I was pregnant, they were very angry and beat me. My parents say that no-one will marry me now.

The neighbours talk a lot about my family. Before, friends used to stop at our house. They would come in and talk and drink soda. Now, no-one visits.

The school says I am a bad example to the other girls. The headmaster says I can't come to school any more. Now my sisters and I stay home. We take care of the house and work in the shop.









I wish I could go back to how life was before. I want to be like the other girls. I want to go to school. I want to see my friends.

Last week, I walked all day to get to the health clinic.
They say it's dangerous for girls to give birth so young.
They say that I have to come to the clinic to give birth.
But we live too far away. My mother says I will give birth at home.

I feel so scared. I can't sleep at night. Soon my baby will be born. But what kind of life will my baby have? Children who don't have a father are called bad names. My parents say there's no money to feed another child.



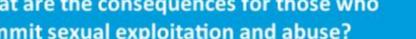




What are the consequences for UN personnel who commit sexual exploitation or abuse? Let's see if Peter can provide us with some more information.



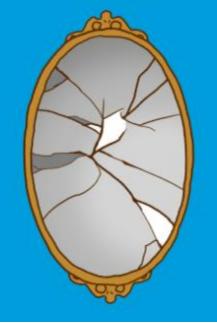














# **Before** we continue ...

... take a moment to think about this:

What are the consequences for UN personnel who commit sexual exploitation and abuse?

**DONE? LET'S PROCEED.** 

#### Lesson 2 > Four main consequences 8/17



There are four main consequences for UN personnel who commit sexual exploitation and abuse:

- Their professional life will suffer
- They may be prosecuted
- Their safety and health may be at risk
- Their personal life will suffer

#### Consequences for UN personnel



Their professional life will suffer



They may be prosecuted



Their safety and health may be at risk



Their personal life will suffer

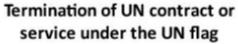




Click on the post-it notes to see how their professional life will suffer.









**Financial loss** 

Barred from future service with the UN

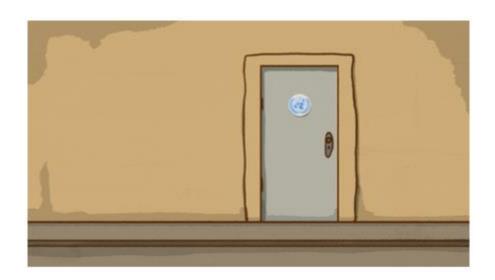
Administrative sanctions imposed by troop- and policecontribution countries

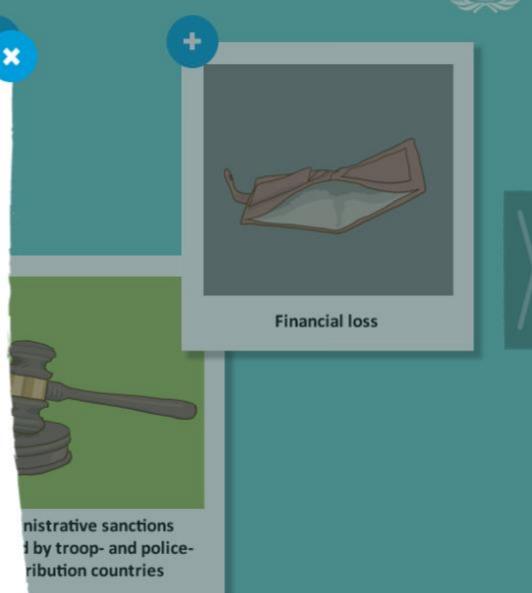


Click on the post-it notes to see how

#### Barred from future service with the UN

If a person has engaged in sexual exploitation and abuse, they will be barred from all future service with the UN. They can never work for the UN again.







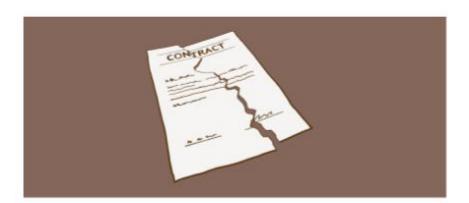
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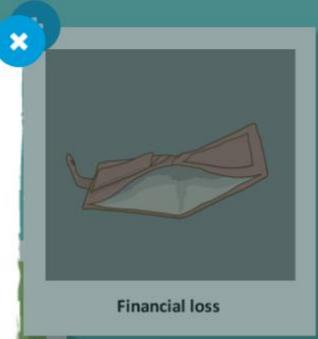


#### Termination of UN contract or service under the UN flag

Sexual exploitation and abuse are acts of serious misconduct. If an allegation of sexual exploitation and abuse is proven to be true, UN personnel will no longer be allowed to work for the UN or serve under the UN flag.

UN civilians will be dismissed from their jobs. Cooperative agreements with NGOs, private sector contractors, consultants and interns can be terminated. Uniformed personnel will be repatriated by the UN to face justice in their home country. If there is credible evidence of widespread or systematic sexual exploitation and abuse, the UN may repatriate an entire military unit or formed police unit.





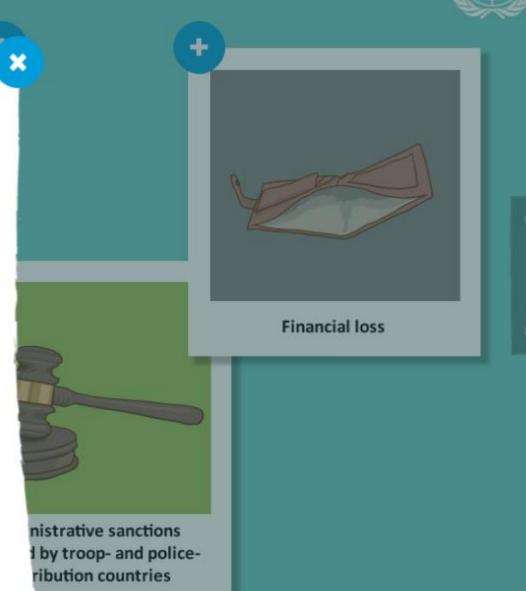


Click on the post-it notes to see how

## Administrative sanctions imposed by troop- and police-contribution countries

Troop- and police-contributing countries may also impose their own administrative sanctions such as removal from position of command and removal of benefits.



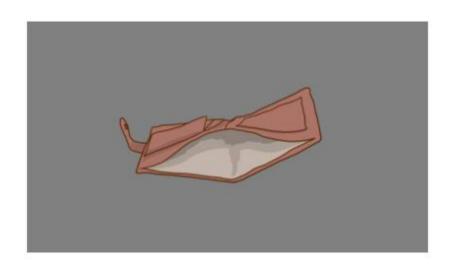




Click on the post-it notes to see how

#### **Financial loss**

The UN can impose a fine on UN staff who have been dismissed for sexual exploitation and abuse. The UN can also withhold payment to troop- and police-contributing countries for uniformed personnel found guilty of sexual exploitation and abuse.





## Lesson 2 > Consequences: UN personnel may be prosecuted 10/17



UN personnel have privileges and immunities to enable them to perform their work-related functions. These privileges and immunities can never be used to shield UN personnel from any crimes they may have committed. If a crime has been committed such as rape, UN personnel can be prosecuted.

Let us see how UN personnel are prosecuted for crimes.







## Lesson 2 > Consequences: UN personnel may be prosecuted 11/17





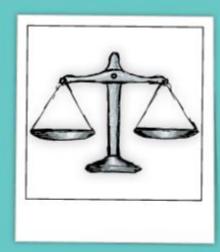
The UN Secretary-General can waive the immunity of UN personnel to allow them to face a criminal prosecution, and has done so in the past.

Uniformed personnel who engage in sexual exploitation and abuse are repatriated by the UN, and typically face a court martial or other disciplinary proceedings instituted by their national authorities. These could lead to dismissal and imprisonment.

## Lesson 2 > Consequences: UN personnel may be prosecuted for child support 12/17



UN personnel must honour their private legal obligations, which includes any court orders requiring them to pay for child support. This means that UN personnel are also liable for child support if a child is born as a result of them engaging in sexual exploitation and abuse.







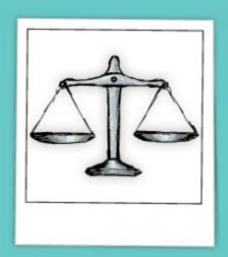


## Lesson 2 > Consequences: UN personnel may be prosecuted for child support 12/17





In a child support claim, paternity must be established. Can you explain what role the UN plays in paternity claims?













The UN can help collect DNA from UN personnel in paternity claims, with the alleged father's consent.





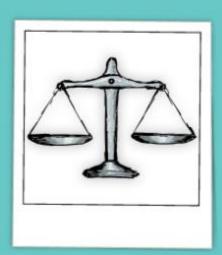


## Lesson 2 > Consequences: UN personnel may be prosecuted for child support 12/17





And what happens once paternity has been established?







### Lesson 2 > Consequences: UN personnel may be prosecuted for child support 12/17





If a court order is received, the UN will deduct child support from staff salaries. If a court order is made against uniformed personnel, the UN will transmit it to the relevant troop- or police-contributing country for action.









### Lesson 2 > Consequences: Their safety and health may be at risk (Quiz) 13/17



#### What do you think?

Sexual exploitation and abuse may also put the safety and health of UN personnel and others at risk. What are some specific risks?

- There is a risk of retaliation and physical attacks against UN personnel who commit sexual exploitation and abuse
- There is a risk of retaliation and physical attacks against the colleagues and fellow contingent members of UN personnel who commit sexual exploitation and abuse
- There is a risk of UN personnel contracting and transmitting HIV and other sexually transmitted infections



SUBMIT



## Lesson 2 > Consequences: Their safety and health may be at risk (Quiz) 13/17



#### What do you think?

Sexual exploitation and abuse may also put the safety and health of UN personnel and others at risk. What are some specific risks?



There is a risk of retaliation and physical attacks against the colleagues and fellow contingent members of UN personnel who commit sexual exploitation and abuse

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SUBMIT

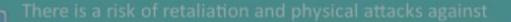


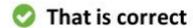
### Lesson 2 > Consequences: Their safety and health may be at risk (Quiz) 13/17



#### What do you think?

Sexual exploitation and abuse may also put the safety and health of UN personnel and others at risk. What are some specific risks?





All three options are correct. Sexual exploitation and abuse may put the safety and health of UN personnel and others at risk. For example, there is the risk of retaliation and physical attacks against those who commit sexual exploitation and abuse, or against their colleagues and fellow contingent members. There is also a risk of UN personnel contracting and transmitting HIV and other sexually transmitted infections.

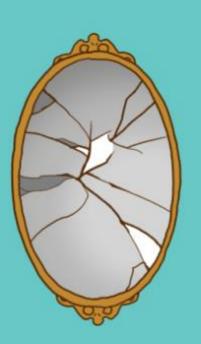




UN personnel who commit sexual exploitation and abuse will see their personal and professional reputation ruined. They may be ostracized by their peers and/or community, and their relationships with family and friends may break down.

Let us now hear what happened to three UN personnel who committed sexual exploitation and abuse.







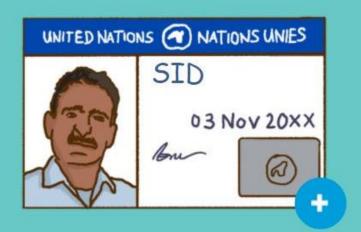


## Lesson 2 > Abusers speak out 15/17



Click on the ID card to listen to each statement.







These three stories of sexual exploitation and abuse by UN personnel are fictitious examples based on facts from real-life cases. Names of perpetrators and voices have been changed to protect identities.



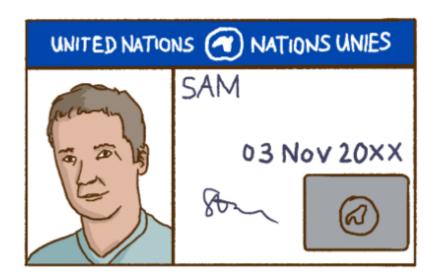
×

The UN fired me for paying for sex with a local. She looked a lot older, but she was only 15. The last days in the office were like hell. I used to joke with my colleagues all the time. But suddenly everyone avoided me.

Now I am back at home. The UN fined me, so I don't have much money to live on. My professional reputation is in ruins.

No-one will hire me.

Last week, a police car came round to the house. It was the middle of the day, so all the neighbours could see. The police officers took my passport. They said I will be prosecuted for having sex with a child while overseas. This is a crime in my country.

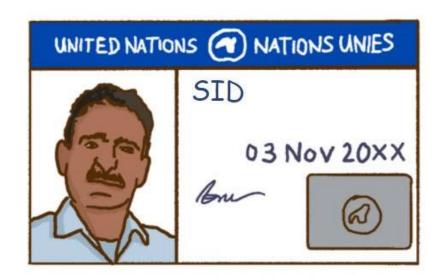






I was repatriated by the UN to my home country. When the investigation found me guilty of sexual exploitation and abuse, I was dismissed from the police service. After 20 years of service in the police, no-one will remember me for my good work. They will only remember me as that man who sexually exploits women.

When my wife found out what I had done, she went to live with her parents and took the children with her. Now she says she wants a divorce. She says that the shame of divorce is better than the shame of living with me.

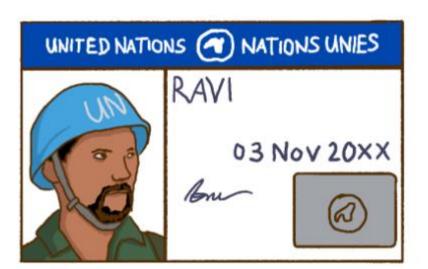






I was repatriated by the UN to my home country. A court martial found me guilty of serious misconduct for having engaged in sexual exploitation and abuse while serving under the UN flag overseas. I was given a dishonourable discharge. I lost all my benefits and I was imprisoned.

After serving my sentence, I met some soldiers from my former platoon. They say that I have embarrassed my country and brought dishonour to my regiment.







## 1/4

Acts of sexual exploitation and abuse damage the image and credibility of the UN. This in turn undermines the ability of the UN to implement its mandate and do its work effectively.



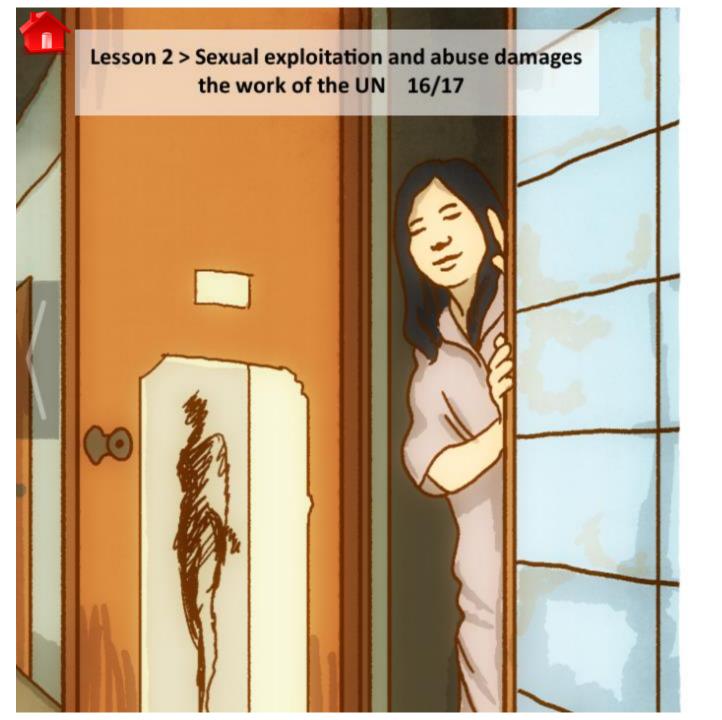




## 2/4

For example, allegations of sexual exploitation and abuse by UN personnel have overshadowed the important work that some UN field missions are doing to bring peace and security, and diverted management time and resources away from mandate implementation. In countries where the UN has a mandate to protect civilians, acts of sexual exploitation and abuse directly undermine this mandate.







## 3/4

In some countries, women have been trafficked and forced to work in the commercial sex industry. When UN personnel buy sex, this may fuel human trafficking and undermine the work of the UN and others in combating serious and organized crime.





## Lesson 2 > Sexual exploitation and abuse damages the work of the UN 16/17





## 4/4

Acts of sexual exploitation and abuse by UN personnel damage donor support for the UN and its work.

Acts of sexual exploitation and abuse by uniformed personnel serving under the UN flag damage the reputation of troop- and police-contributing countries overseas.



Lesson 2 – Consequences for abusers 17/17

# Well done. You have reached the end of this lesson.

What you have covered in lesson 2:

- Sexual exploitation and abuse causes physical, emotional, psychological and social harm to victims. A child born as a result of sexual exploitation and abuse by UN personnel may face life-long disadvantage and discrimination.
- UN personnel who commit sexual exploitation and abuse will see their professional life suffer, they may be prosecuted, their safety and health may be at risk and their personal life will suffer.
- Acts of sexual exploitation and abuse damage the image and credibility of the UN, which in turn undermines the ability of the UN to implement its mandate.





### Lesson 3 > What will you learn in lesson 3? 1/17



#### In this lesson, you will learn about:

- What are your personal obligations to uphold the UN standards of conduct on sexual exploitation and abuse?
- How to report sexual exploitation and abuse?
- Who investigates sexual exploitation and abuse by UN personnel?
- How will you find out about the outcome of an investigation?
- · What help is given to victims?



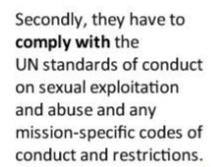


# Lesson 3 > What are your four key obligations? 2/17



All UN personnel have four key obligations.

Firstly, they need to know what are the UN standards of conduct on sexual exploitation and abuse.



Thirdly, they have to report sexual exploitation and abuse by UN personnel.

And fourthly, they have to cooperate with investigations into sexual exploitation and abuse by UN personnel.









### Lesson 3 > What are your four key obligations? 2/17

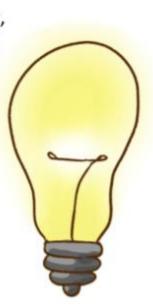


#### All UN personnel have

### Know what are the UN standards of conduct on sexual exploitation and abuse

Training on the UN standards of conduct on sexual exploitation and abuse should be completed <u>at the start</u> of your UN assignment (e.g. within the first two months).

In addition to completing this online training, you should attend all other UN trainings and briefings offered to you on UN standards of conduct on sexual exploitation and abuse.



Thirdly, they have to report sexual exploitation and abuse by UN personnel.



And fourthly, they have to cooperate with investigations into sexual exploitation and abuse by UN personnel.







#### All UN personnel have

### Comply with UN standards of conduct and any missionspecific codes of conduct and restrictions

For example, mission-specific restrictions include a prohibition on UN personnel visiting off-limits premises where prostitution and/or human trafficking is suspected or known to occur, or a non-fraternization policy for contingent personnel.

Inadequate provision of welfare and recreation facilities, a high workload, and prolonged periods of work without breaks can all lead to high levels of stress. High stress levels can result in poor judgement and harmful behaviour such as excessive drinking, drug abuse and unsafe sex. In UN field missions, there have been cases of UN international personnel buying sex from local women after drinking heavily.

UN personnel can also abide by the UN standards of conduct by taking actions in their daily life to reduce stress and keep a healthy work-life balance. Examples of actions to take in your daily life to reduce stress could include: keeping in touch with family and friends, engaging in hobbies and sports, taking your holidays, rest and recuperation (R&R) breaks and/or leave.



hly, they have ate with tions into ploitation and UN personnel.









Click on the different characters to read examples of actions that they took in their daily lives to comply with the UN standards of conduct on sexual exploitation and abuse.











#### Meet up with friends

When I feel lonely, I call up my friends and invite them out. But I make sure to stay out of places that are off-limits to UN personnel and to get back before curfew.



ctions that they took in their ton sexual exploitation and abuse.



×







#### Pursue hobbies and sports

When I get too stressed, I do stupid things that I regret later. I keep my stress levels under control through hobbies and sports.





ctions that they took in their it on sexual exploitation and abuse.









#### Call your family and friends regularly

I am deployed with the UN to a non-family duty station. I knew I would feel lonely without my family and friends around me. So before I left, we agreed to call each other regularly and to meet when I am on leave.



ctions that they took in their ton sexual exploitation and abuse.









#### Make attending UN trainings and briefings a priority

There was a lot to do when I started my new job at the UN, but I made sure to prioritize attending all UN trainings and briefings on UN standards of conduct on sexual exploitation and abuse.



ctions that they took in their ton sexual exploitation and abuse.







### Lesson 3 > Reporting: what and when should I report? 4/17



I mentioned earlier that all UN personnel have an obligation to report sexual exploitation and abuse. Let us see what this means in practice.



What should you report?



Should you report allegations immediately to the UN?







### What should you report?

Report to the UN any suspicions, concerns, rumours and complaints that UN personnel have committed sexual exploitation and abuse.

Sometimes you will only be able to report a general suspicion. However, if possible, be specific and report:

- WHO was involved
- WHAT happened
- WHERE did it happen
- WHEN did it happen

ou report allegations tely to the UN?





# Should you report allegations immediately to the UN?

Yes!

#### 1. Do not wait.

Report allegations of sexual exploitation and abuse by UN personnel immediately to the UN.

2. Do <u>not</u> try to find out if the allegation is true before reporting it to the UN. That is the job of an investigation.

ou report allegations tely to the UN?







Report sexual exploitation and abuse to

UN management or through the UN chain of command. Reports can also be made directly to the UN Office of Internal Oversight Services (OIOS).

### Reporting channels

Note that for UN field missions, UN personnel must report allegations of sexual exploitation and abuse to the Head of Mission directly or through any of the following channels:

- Conduct and discipline teams or conduct and discipline focal points (for all personnel)
- The head of the civilian component or other line managers (for civilian personnel)
- The head of the police component or other person in the chain of command (for police and corrections personnel)
- The head of the military component or other person in the chain of command (for military personnel)
- The UN Office of Internal Oversight Services (OIOS) (for all personnel)

In UN field missions, it is highly recommended to report allegations of sexual exploitation and abuse to conduct and discipline teams or conduct and discipline focal points, as they have a formal role in advising the Head of Mission on all conduct and discipline issues and following-up on allegations.









Report sexual exploitation and abuse to

UN management or through the UN chain of command. Reports can also be made directly to the UN Office of Internal Oversight Services (OIOS).

### Reporting channels

- Do <u>not</u> report allegations of sexual exploitation and abuse by UN personnel to the UN Ethics Office, the
   UN Ombudsman and Mediation Services, or to the
   UN Department of Safety and Security (UN DSS) as they
   have no formal role in receiving allegations of sexual exploitation
   and abuse by UN personnel, other than relaying the allegation
   to DPKO/DFS or the relevant UN entity for action. Reporting
   allegations of sexual exploitation and abuse to these offices
   could delay handling of the allegations.
- The UN Office of Internal Oversight Services (OIOS) is an independent body in charge of investigating allegations of misconduct by UN personnel.



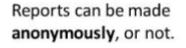
# Lesson 3 > Report in good faith 7/17





What else can you tell us about reporting allegations of sexual exploitation and abuse?

Reports should be made in **good faith**. If the allegation later proves to be untrue, you will not face repercussions if you reported in good faith.













### False, malicious complaints made in bad faith

Persons who make a malicious complaint of sexual exploitation and abuse that they know to be false, are themselves violating UN standards of conduct and guilty of serious misconduct. UN staff members can be dismissed if they report a suspicion of sexual exploitation and abuse by UN personnel in bad faith i.e. if they report a suspicion that they know to be false.





Reports can be made anonymously, or not.







#### **Anonymous reports**

This means that you don't have to give your name when reporting a suspicion of sexual exploitation and abuse. Anonymous complaints will be treated just as seriously.

However, if you decide to report anonymously, do provide sufficient detail to allow for independent corroboration of the allegation. Otherwise, it may not be possible to investigate.

UN staff are protected against retaliation for reporting misconduct and for cooperating with duly authorized audits or investigations.

Click to read about whistleblower protection for UN staff



orts can be made nymously, or not.

exploitation and abuse?



### Lesson 3 > Deciding to report sexual exploitation and abuse 8/17



When you report a suspicion of sexual exploitation and abuse you may feel anxious or even scared. This is a normal reaction.

Let us look at this scenario where Oscar has to decide whether to report a suspicion of sexual exploitation and abuse by UN personnel.



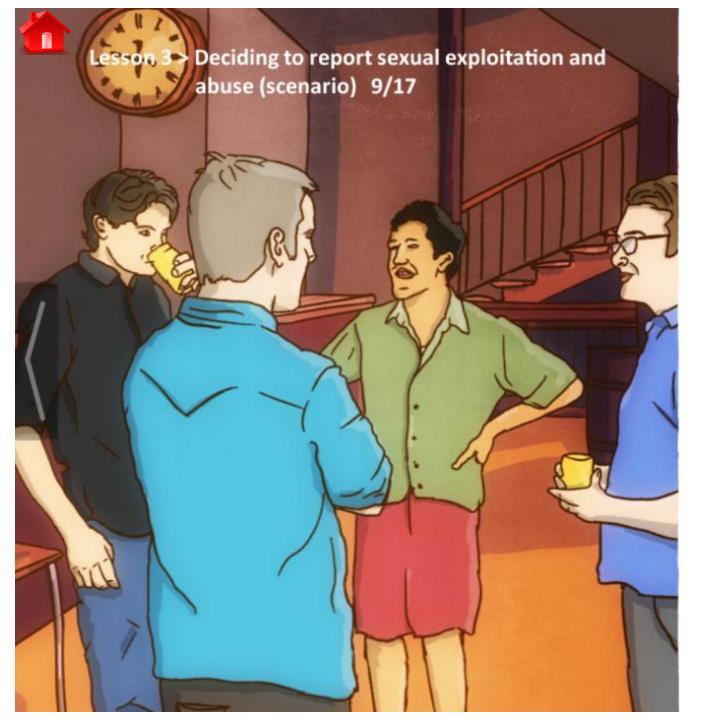




# 1/3

At the end of the work day, UN personnel are enjoying a drink in a bar. Oscar sees Jacques go to one of the rooms upstairs with a woman.







# 2/3

Later on, Jacques comes out of the room with the woman. Jacques goes back to his group of UN friends at the bar.





# Lesson 3 > Deciding to report sexual exploitation and abuse (scenario) 9/17





Oscar is back in the office the next day. He can't stop thinking about what he saw yesterday in the bar.







# Lesson 3 > Deciding to report sexual exploitation and abuse (question 1/3) 10/17



Should Oscar wait until he has more evidence that sexual exploitation and abuse has occurred before reporting it?



YES or NO



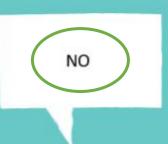
# Lesson 3 > Deciding to report sexual exploitation and abuse (question 1/3) 10/17



Should Oscar wait until he has more evidence that sexual exploitation and abuse has occurred before reporting it?



YES



### Lesson 3 > Deciding to report sexual exploitation and abuse (question 1/3) 10/17



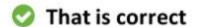
Should Oscar wait until he has more evidence that sexual exploitation and abuse has occurred before reporting it?



YES

or

NO



Oscar should not wait. Oscar has a duty to report any suspicions that UN personnel have engaged in sexual exploitation and abuse <u>immediately</u>.

Oscar should not try to find out more information or investigate himself before reporting his suspicion to the UN.



### Lesson 3 > Deciding to report sexual exploitation and abuse (question 2/3) 11/17



Oscar has decided to report his suspicion that sexual exploitation and abuse may have occurred.

Can he make an anonymous complaint?



YES

NO

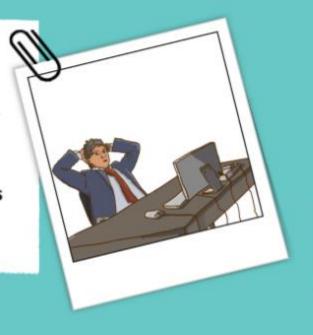


### Lesson 3 > Deciding to report sexual exploitation and abuse (question 2/3) 11/17



Oscar has decided to report his suspicion that sexual exploitation and abuse may have occurred.

Can he make an anonymous complaint?





NO

### Lesson 3 > Deciding to report sexual exploitation and abuse (question 2/3) 11/17



Oscar has decided to report his suspicion that sexual exploitation and abuse may have occurred.

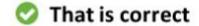
Can he make an anonymous complaint?





0

NO



If he wants, Oscar can make an anonymous complaint. Oscar does not have to give his name when reporting a suspicion of sexual exploitation and abuse. Anonymous complaints will be treated just as seriously.

However, if he decides to report anonymously, he must provide sufficient detail to allow for independent corroboration of the allegation. Otherwise, it may not be possible to investigate it.



# Lesson 3 > Deciding to report sexual exploitation and abuse (question 3/3) 12/17



Oscar reported his suspicion that sexual exploitation and abuse may have occurred in good faith. A subsequent investigation concludes that sexual exploitation and abuse did not occur.

Has Oscar violated UN standards of conduct?



YES

NO



# Lesson 3 > Deciding to report sexual exploitation and abuse (question 3/3) 12/17

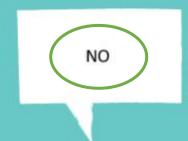


Oscar reported his suspicion that sexual exploitation and abuse may have occurred in good faith. A subsequent investigation concludes that sexual exploitation and abuse did not occur.

Has Oscar violated UN standards of conduct?







### Lesson 3 > Deciding to report sexual exploitation and abuse (question 3/3) 12/17



Oscar reported his suspicion that sexual exploitation and abuse may have occurred in good faith. A subsequent investigation concludes that sexual exploitation and abuse did not occur.



That is correct

Since Oscar reported the concern in good faith, he has not violated UN standards of conduct.

YES or NO



### Lesson 3 > Who investigates sexual exploitation and abuse by UN personnel? 13/17





So what happens after an allegation of sexual exploitation and abuse by UN personnel is reported to the UN? Is an investigation launched?

Yes, an investigation must be conducted immediately. UN troop-contributing countries are responsible for investigating members of their national military contingents.



The UN is responsible for investigating all other UN personnel.



Remember, do cooperate with investigations into sexual exploitation and abuse by UN personnel.











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# Troop- and police-contributing countries are responsible for investigating allegations involving their personnel

Troop-contributing countries are expected to complete an investigation into an allegation of sexual exploitation and abuse within six months, save for exceptional circumstances. If a troop-contributing country is unable or unwilling to launch its own investigation, then the UN can investigate instead. If a troop- or police-contributing country has not taken appropriate steps to investigate allegations of sexual exploitation and abuse involving its personnel, the UN may replace all of that country's military units and/or formed police units with those from a different country.



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### Lesson 3 > Who investigates sexual exploitation and abuse by UN personnel? 13/17





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# The UN is responsible for investigating all other UN personnel

For example, the UN conducts investigations into allegations of sexual exploitation and abuse by UN staff, UN Volunteers, UN Police Officers (UNPOL), members of UN formed police units, UN corrections officers and UN military liaison officers.

Remember, do cooperate with investigations into sexual exploitation and abuse by UN personnel.







### Lesson 3 > Who investigates sexual exploitation and abuse by UN personnel? 13/17





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### Do cooperate with investigations into sexual exploitation and abuse by UN personnel

For example, provide any information, documentation and evidence that could assist the investigation, and make yourself and your subordinates available to be interviewed by investigators.



Remember, do cooperate with investigations into sexual exploitation and abuse by UN personnel.





### Lesson 3 > Communicating the outcome of investigations 14/17





Once the investigation is concluded, the UN communicates the outcome of the investigation to the general public.

Does the public have access to these reports?







### Does the public have access to these reports?

Yes. The UN Secretary-General produces an annual report on sexual exploitation and abuse by UN personnel that includes statistics and information on the outcome of investigations and actions taken by the UN and its Member States. This is a public document.

If an allegation of sexual exploitation and abuse turns out to be false, the UN also communicates this information in order to repair the reputation of the UN and its Member States. e public have access to eports?









Once an allegation of sexual exploitation and abuse is made against UN personnel, the UN has to make sure that alleged victims are referred to any immediate help they require and are protected from further harm.

### Immediate assistance to victims

At this stage, it is not known whether the allegation is true or not, but the UN still has an obligation to refer alleged victims to any immediate help they require and to take immediate steps to prevent any further harm. For example, the alleged victim may need urgent medical help, psychosocial support, emergency shelter, food or physical protection from retaliation for speaking out.





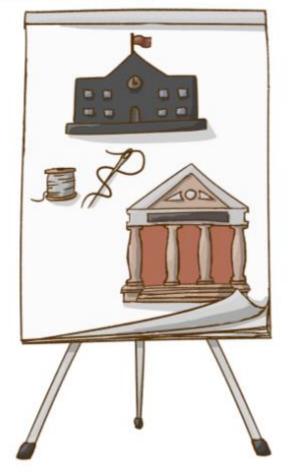




If an investigation concludes that sexual exploitation and abuse did occur, the UN will refer the victim to any longer-term assistance required.

### Longer-term assistance to victims

The UN will facilitate the victim to access longer-term assistance such as: returning to school, vocational skills training, or legal aid to pursue criminal proceedings or a child support claim.



### Lesson 3 > Obligations of UN personnel 17/17

# Well done. You have reached the end of this lesson.

What you have covered in lesson 3:

- Know what are the UN standards of conduct on sexual exploitation and abuse
- Comply with the UN standards of conduct on sexual exploitation and abuse and any mission-specific codes of conduct and restrictions
- Report to the UN any suspicions, concerns, rumours and complaints that UN personnel have committed sexual exploitation and abuse
- Cooperate with investigations into sexual exploitation and abuse by UN personnel

# Tip: Do not wait. Report allegations of sexual exploitation and abuse by UN personnel immediately to the Tip:

Reports can be made anonymously or not.